



NC DHHS Responses to Pilot Training Questions Session 2 Part 2

Questions/Comments		Response
1	Enlarge on your concept that “documentation” is part of the consumer’s plan.	The individual's plan should contain information as to why a characteristic cannot be evidenced and the steps that are being taken toward the characteristic being met.
2	Are there options to the “key” requirement?	Any modification of the additional conditions for provider owned or controlled residential settings must be supported by a specific assessed need and justified in the person-centered plan. The individual having access is the expectation and can occur through a variety of options, e.g. keyless entry, swipe card, training opportunities, etc.
3	After 16 years in our AFL, a guardian decided to move someone – neither the supported individual nor the support team was involved – is this self-determination?	The individual and team being involved is best practice. However, admissions are voluntary.
4	In a 6-bed group home should all people living there be part of the interviews of new staff?	While they might not be involved in the interview, the individual’s needs and preferences should be considered in the staffing. Each agency should be able to demonstrate its practice in supporting people receiving services to select staff that will work with them.
5	It would be beneficial to express this philosophy beyond residential supports/vocational programs to people living at home – because they do not get out due to guardian preference.	This is a long term goal. We will continue to educate stakeholders on this piece of service delivery under the Waiver programs.
6	For AFL’s and the e-Assessment, is one AFL considered one site? Or is a “site” considered our office location that may monitor several AFLs?	AFL is site.
7	Will our organization receive the required funding for choices beyond the organizational responsibilities that our consumer chooses?	Individual’s resources should be taken into consideration when the Team is planning with the person. The federal law does not require organizations to fund all the choices the person receiving services wants.
8	How do we handle existing contracted AFL unlicensed – who need to make modifications to the home – who is fiscally responsible – AFL or provider?	If individual receiving services is already there then the home should already be accessible (should not have placed someone in an inaccessible home).



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9	When doing a corporate review for SE – we are a statewide agency – if our corporate office is located at the other end of the state from the pilot SE would we use that corporate site or the local office site?	The main Corporate site for the organization should complete a review, and the local office site will be responsible for the actual SE site review.
10	Will the Division support with increased costs to meet HCBS requirements, especially during transition phase?	This will be assessed as we gather data from the self-assessments. The State is requesting that providers identify any potential barriers such as rules, regulations, etc. which would impede the State from fully implementing the HCBS rule.
11	We need written guidance on how to address circumstances when client choice differs from guardians from a legal perspective.	Additional guidance will be provided.
12	Do we go into ADH and complete this assessment or do they “self assess”? What exactly is the Lead Agency role? What is the ADH role in this process	The ADH does a self-assessment. Lead agency will review self-assessment when it is submitted and will be responsible for the onsite monitoring of the self-assessment evidence. The role of the ADH is to comply with the federal regulation.
13	When completing the assignment and giving we need to say timeframes for change will there be a limitation on time? For example, giving keys to individual, can we say it will be completed in 2 years or will we need to say within a year in order for declaration to be accepted?	To address the example, the expectation would be that individuals who are able to have keys will be given keys within a shorter period of time and those who are not yet able to use a have keys would have this addressed in their ISP (Individual Plan). The Team should carefully consider the reason to limit access by not providing each individual with their own key.